



PATENT
Attorney Docket No.: SAM-0203

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dong-Jun Kim, *et al.* Examiner: Nhu, D.
Serial No.: 10/039,126 Group Art Unit: 2818
Filing Date: January 3, 2002
Title: SEMICONDUCTOR DEVICE HAVING A FLASH MEMORY CELL AND
FABRICATION METHOD THEREOF

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

3-3001

Date

Vanessa Marakas

Vanessa Marakas

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

[] under 37 C.F.R. 1.129(a), or
(First/Second submission after Final Rejection)

[] under 37 C.F.R. 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)

[X] under 37 C.F.R. 1.97(c) together with either:

[X] a Statement under 37 C.F.R. 1.97(e), as checked below, or

[] the \$180.00 fee under 37 C.F.R. 1.17(p), or
(After the 37 C.F.R. 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)

[] under 37 C.F.R. 1.97(d) together with:

[] a Statement under 37 C.F.R. 1.97(e), as checked below, and

[] the \$180.00 petition fee set forth in 37 C.F.R. 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): Dong-Jun Kim, *et al.*
Serial No.: 10/039,126

Statement Under 37 C.F.R. 1.97(e)

[] Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office In a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

[X] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

[X] Copies of cited references are on record in related divisional application 10 /616,391.

[] Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 C.F.R. 1.98.

[] The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

[] The "concise explanation" requirement under 36 C.F.R. 1.98(a)(3)(i) for reference _____ is satisfied by:

[] the explanation provided on the attached sheet.

[] the explanation provided in the Specification.

[] abstract.

It is requested the information disclosed herein be made of record in this application.

Applicant(s): Dong-Jun Kim, *et al.*
Serial No.: 10/039,126

Method of payment:

Enclosed is a check in the amount of _____.

Please charge Deposit Account of 50-1798 in the amount of _____.

Two duplicate copies of this Statement are enclosed.

Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: March 30, 2004
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



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| SACB Subst. Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | | | | Docket Number (Optional) SAM-0203 | Application Number 10/039,126 |
| | | | | Applicant Dong-Jun Kim, et al. | |
| | | | | Filing Date 01/03/02 | Group Art Unit 2818 |

U. S. Patent Documents

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|----------|------------------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
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| | AF | | | | | | |
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| | AH | 5,120,672 | 06/9/92 | Mitchell, et al. | 438 | 261 | 08/29/89 |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AL | | | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



Sheet 2 of 2

| Subst. Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | | | | Docket Number (Optional) SAM-0203 | Application Number 10/039,126 | | |
|---|-----------|-----------------|----------|---|---|----------|----------------------------|
| | | | | Applicant Dong-Jun Kim, et al. | | | |
| | | | | Filing Date 01/03/02 | Group Art Unit 2818 | | |
| U. S. Patent Documents | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | BA | 6,291,297 | 09/18/01 | Chen | 438 | 265 | 10/26/99 |
| | BB | 6,583,009 | 06/24/03 | Hui, et al. | 438 | 264 | 06/24/02 |
| | BC | 5,498,558 | 03/12/96 | Kapoor | 437 | 43 | 05/06/94 |
| | BD | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
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